

### **Notification on segregation and monitoring of collateral at client level**

Clearing corporation vide circular **NCL/CMPL/49764** issued on September 29, 2021 and **NCL/CMPT/51868** issued on April 01, 2022 has put in place a framework for Segregation and Monitoring of Collateral at Client Level.

In accordance with aforesaid circular and with a view to provide visibility of client-wise collateral, Clearing Corporations have provided a web portal facility to allow investors to view their disaggregated collateral placed with Member and as reported by their registered Trading Member /Clearing Member.

The said facility will provide the following benefits:

- Investors can verify/ validate the collaterals deposited by them with the members vis-à-vis the collaterals reported by members to clearing corporations.
- Investors can also view break-up of their collateral lying with the trading member, clearing member and clearing corporations.
- Visibility through web portal will bring transparency with respect to their collateral placed with trading member on daily basis in seamless manner.

Investors are urged to regularly view the details of their collateral available with the respective clearing corporations and in case Investors find any discrepancy in the collateral deposited by them with their members and as reported by respective trading members/clearing members to clearing corporations, they are advised to take up the matter immediately with their trading member. In case of non-resolution of discrepancy by the trading member, Investors may intimate the same to the Exchange at email id [ignse@nse.co.in](mailto:ignse@nse.co.in) or on toll free number 18002660050 (Option 5). Client may register an online complaint at <https://www.nseindia.com/invest/download-complaint-form-for-offline-registration>

[https://www.frrshares.com/PDF/Procedure to view collateral data by clients.pdf](https://www.frrshares.com/PDF/Procedure%20to%20view%20collateral%20data%20by%20clients.pdf)

for procedure to view Collateral data by clients on the exchange website. The provisions of the circular shall be implemented w.e.f. May 02, 2022.